

ET 420 197 824 US

PATENT  
Case No. AUS920010591US1  
(9000/60)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JOHNNY R. BREZINA, CHRISTOPHER M. GABEL,  
ERIC P. HEUSSI, BRIAN M. KERRIGAN, GERALD D.  
MALAGRINO, JR., JAMES R. MOON

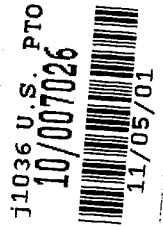
Serial No.

Filed:

Title: PACKAGING ARCHITECTURE FOR A MULTIPLE  
ARRAY TRANSCEIVER USING A CONTINUOUS  
FLEXIBLE CIRCUIT

Examiner:

Group Art Unit:



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicants would like to call the Examiner's attention to the references listed on the enclosed form PTO-1449. Copies of these references are also enclosed.

Applicants believe that none of the listed references, alone or in combination disclose or suggest Applicant's claimed invention.

Dated: 11/2/01

Respectfully submitted,

IBM CORPORATION  
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| FORM PTO-1449  | SERIAL NO.                                   | CASE NO.<br>AUS920010591US1<br>(9000/69) |
| <b>LIST OF PATENTS AND PUBLICATIONS FOR<br/>APPLICANT'S INFORMATION DISCLOSURE<br/>STATEMENT</b> | FILING DATE                                  | GROUP ART UNIT                           |
| (use several sheets if necessary)  | APPLICANT(S): JOHNNY R. BREZINA <i>et al</i> |  |

J1036 U.S. Pat. 10/007026



| REFERENCE DESIGNATION |  |                    | U.S. PATENT DOCUMENTS |      |                    |                |
|-----------------------|--|--------------------|-----------------------|------|--------------------|----------------|
| EXAMINER<br>INITIAL   |  | DOCUMENT<br>NUMBER | FILING<br>DATE        | NAME | CLASS/<br>SUBCLASS | FILING<br>DATE |
|                       |  |                    |                       |      |                    |                |

| FOREIGN PATENT DOCUMENTS |  |                    |                     |         |                    |                       |
|--------------------------|--|--------------------|---------------------|---------|--------------------|-----------------------|
| EXAMINER<br>INITIAL      |  | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES NO |
|                          |  |                    |                     |         |                    |                       |

| EXAMINER<br>INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |  |
|---------------------|--|--|
|                     | A1   | U.S. Patent Application entitled "OPTICAL ALIGNMENT IN A FIBER OPTIC TRANSCEIVER", (Inventors Johnny R. Brezina, et al.)   |
|                     | A2   | U.S. Patent Application entitled "FLEXIBLE CABLE STIFFENER FOR AN OPTICAL TRANSCEIVER", (Inventors Johnny R. Brezina, et al.)  |
|                     | A3   | U.S. Patent Application entitled "ENHANCED FOLDED FLEXIBLE CABLE PACKAGING FOR USE IN OPTICAL TRANSCEIVERS", (Inventors Johnny R. Brezina, et al.)   |
|                     | A4   | U.S. Patent Application entitled "APPARATUS AND METHOD FOR CONTROLLING AN OPTICAL TRANSCEIVER", (Inventors Johnny R. Brezina, et al.)  |
|                     | A5   | U.S. Patent Application entitled "MOUNTING A LENS ARRAY IN A FIBER OPTIC TRANSCEIVER", (Inventors Johnny R. Brezina, et al.)   |
|                     | A6   | U.S. Patent Application entitled "PACKAGING ARCHITECTURE FOR A MULTIPLE ARRAY TRANSCEIVER USING A FLEXIBLE CABLE", (Inventors Johnny R. Brezina, et al.)                                       |
|                     | A7   | U.S. Patent Application entitled "PACKAGING ARCHITECTURE FOR A MULTIPLE ARRAY TRANSCEIVER USING A FLEXIBLE CABLE AND STIFFENER FOR CUSTOMER ATTACHMENT", (Inventors Johnny R. Brezina, et al.) |
|                     | A8   | U.S. Patent Application entitled "PACKAGING ARCHITECTURE FOR A MULTIPLE ARRAY TRANSCEIVER USING A WINGED FLEXIBLE CABLE FOR OPTIMAL WIRING", (Inventors Johnny R. Brezina, et al.)             |
|                     | A9   | U.S. Patent Application entitled "HORIZONTAL CARRIER ASSEMBLY FOR MULTIPLE ARRAY OPTOELECTRONIC DEVICES", (Inventors Johnny R. Brezina, et al.)  |

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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.